



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Issue Application of:

Kazuya Kimura, et al.

Serial No.: **10/692,366**

Group Art Unit: **3748**

Filing Date: **October 23, 2003**

Examiner: **John J. Vrablik**

For: **Scroll Type Compressor Having An Elastic Member Urging the Movable Scroll Member Toward the Fixed Scroll Member (as amended)**

Express Mail Label No. **EL 998513718US**
DATE OF DEPOSIT: **August 5, 2004**

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

COMMUNICATION REGARDING
REQUEST FOR COPY OF INITIALED FORM PTO-1449

The above-identified application was allowed on May 7, 2004 and the Issue Fee is being paid herewith. On July 9, 2004, a Supplemental Information Disclosure Statement was filed listing the references cited in the European Search Report dated April 15, 2004.

To date, we have not received an initialed Form PTO 1449 with respect to this Supplemental Information Disclosure Statement. Attached is a copy of the Supplemental Information Disclosure Statement and related documents, as well as the stamped postcard dated July 9, 2004 acknowledging receipt of the documents.

Applicants respectfully request confirmation that these references be considered, an initialed copy of the Form PTO-1449 be mailed or faxed to the undersigned, and that these references appear on the Letters Patent.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael P. Dunnam". The signature is fluid and cursive, with the first name "Michael" and last name "Dunnam" being clearly legible.

Michael P. Dunnam

Registration No. **32,611**

Date: August 5, 2004

WOODCOCK WASHBURN LLP
One Liberty Place – 46th Floor
Philadelphia, PA 19103
(215) 568-3100



RECEIVED BY THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Paper: Supplemental Information Disclosure Statement w/ Express Mail stamp in duplicate; Form PTO 1449 (2 pages) citing the European Search Report dated 04/15/04 and 4 patent documents (attached); Certification Under 37 CFR 1.97(e) via Express Mail No. EL 998513783US

Applicant(s): Kazuya Kimura, et al.

Serial No.: 10/692,366

Filed: October 23, 2003

Docket No.: TIC-0051

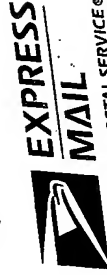
Date Sent: July 9, 2004

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DOCKET NO.: TIC-0051



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Kazuya Kimura, et al.

Application No.: 10/692,366

Filing Date: October 23, 2003

For: Scroll Type Compressor

Confirmation No.: 9341

Group Art Unit: 3748

Examiner: John J. Vrablik

EXPRESS MAIL LABEL NO: EL 998513783US
DATE OF DEPOSIT: July 9, 2004

EL 998513783US

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;
or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☒ In accordance with § 1.97(d), this Supplemental Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith

- ☐ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. _____, filed _____.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

☒ There are no references which are not in the English language.

Date: July 9, 2004



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DOCKET NO.: TIC-0051

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Kazuya Kimura, et al.

Confirmation No.: 9341

Application No.: 10/692,366

Group Art Unit: 3748

Filing Date: October 23, 2003

Examiner: John J. Vrablik

For: Scroll Type Compressor

EXPRESS MAIL LABEL NO: EL 998513783US
DATE OF DEPOSIT: July 9, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATION UNDER 37 CFR § 1.97(e)

In accordance with 37 CFR § 1.97(e), certification is hereby made that:

- ☒ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the

DOCKET NO.:

- 2 -

PATENT

information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Date: July 9, 2004



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Sheet 1 of 2

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. TIC-0051		Application No. 10/692,366	
	Applicants Kazuya Kimura, et al.			
	Filing Date October 23, 2003		Group 3748	
	Confirmation No. 9341			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	1	EP 03 02 4453 Search Report dated 04/15/04.		
EXAMINER		DATE CONSIDERED		



Form PTO-1449 Modified

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
TIC-0051

Application No.
10/692,366

Applicant
Kazuya Kimura, et al.

Filing Date
October 23, 2003

Group
3748

Confirmation No.
9341

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	2	5,588,820	12/31/96	Hill, et al.	418	55.4
	3	6,224,059	05/01/01	Sun	277	364

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	4	2000345979	12/12/00	EPO	XX	
	5	EP 1 055 824	11/29/00	EPO	XX	

EXAMINER

DATE CONSIDERED